



Announcement Summary

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**Entity name**

PHARMAXIS LTD

**Date of this announcement**

Tuesday November 29, 2022

**The +securities the subject of this notification are:**

+Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

**Total number of +securities to be issued/transferred**

| <b>ASX +security code</b> | <b>Security description</b> | <b>Total number of +securities to be issued/transferred</b> | <b>Issue date</b> |
|---------------------------|-----------------------------|---|-------------------|
| PXSAAS                    | PERFORMANCE RIGHTS          | 2,771,000   | 29/11/2022        |

Refer to next page for full details of the announcement



Part 1 - Entity and announcement details

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**1.1 Name of entity**

PHARMAXIS LTD

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

**1.2 Registered number type**

ABN

**Registration number**

75082811630

**1.3 ASX issuer code**

PXS

**1.4 The announcement is**

New announcement

**1.5 Date of this announcement**

29/11/2022



Part 2 - Issue details

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**2.1 The +securities the subject of this notification are:**

+Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

**2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:**

has an existing ASX security code ("existing class")



Part 3B - number and type of +securities the subject of this notification (existing class) where issue has not previously been notified to ASX in an Appendix 3B

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**ASX +security code and description**

PXSAAS : PERFORMANCE RIGHTS

**Date the +securities the subject of this notification were issued**

29/11/2022

**Will these +securities rank equally in all respects from their issue date with the existing issued +securities in that class**

Yes

**Were any of the +securities issued to +key management personnel (KMP) or an +associate?**

Yes

**Provide details of the KMP or +associates being issued +securities.**

| Name of KMP                                | Name of registered holder         | Number of +securities |
|--|-----------------------------------|-----------------------|
| <input type="text" value="Gary Phillips"/> | <input type="text" value="Same"/> | 2,771,000             |

**Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms**

**Any other information the entity wishes to provide about the +securities the subject of this notification**

Issue details

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**Number of +securities**

2,771,000

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Part 4 - +Securities on issue

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**Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:**

**(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)**

**4.1 Quoted +Securities (Total number of each +class of +securities quoted)**

| <b>ASX +security code and description</b> | <b>Total number of +securities on issue</b> |
|---|---|
| PXS : ORDINARY FULLY PAID                 | 634,602,030                                 |

**4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)**

| <b>ASX +security code and description</b>         | <b>Total number of +securities on issue</b> |
|---|---|
| PXSAAP : OPTION EXPIRING 06-JUN-2023 EX NIL PRICE | 66,500                                      |
| PXSAAS : PERFORMANCE RIGHTS                       | 29,872,075                                  |



Part 5 - Other Listing Rule requirements

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**5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?**

No

**5.2 Has the entity obtained, or is it obtaining, +security holder approval for the issue under listing rule 7.1?**

Yes

**5.2a Date of meeting or proposed meeting to approve the issue under listing rule 7.1**

29/11/2022